CONFLICT OF INTEREST AND FAIR ACCESS SURVEY

I. General Information

CRADA Title_____

CRADA ID Number_____ NIH/ICD_____

NIH/PI_____

Collaborating Organization(s)_____

II. Financial Interest Statement (Use attachments if necessary)

A "financial interest" is any interest of monetary value, including a financial interest of a spouse or dependent child. Also, it may include the financial interest of a member of your household or a former employee. There is no minimum amount of value or control that constitutes a financial interest. Normally, financial interest includes, salaries, stocks, or consultant agreements, but not royalties from government inventions processed through the Federal Technology Transfer Act. A financial interest also includes any agreement, such as a license, with an entity for commercialization of patent rights held in a personal capacity.

Please explain fully **any** financial interest in the collaborating organization(s) held by you, your spouse, your dependent child(ren), any other member of your household or a former employer of less than one year. If no such interests exist, please make a statement to that effect.

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Please explain fully **any** financial interest in the collaborating organization(s) held by an entity in which you or your spouse have an official duty, such as serving as an officer, director, trustee, partner or employee. If no such interests exist, please make a statement to that effect.

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Please explain fully **any** financial interest in the collaborating organization(s) held by an entity in which you are negotiating for prospective employment, or with which you have an arrangement for prospective employment. If no such interests exist, please make a statement to that effect.

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If any of the above situations apply, has a waiver of the conflict (pursuant to 18 U.S.C. Section 208) or other resolution been approved by your Institute and ICD Deputy Ethics Counselor after consultation with the Office of the Special Counsel of Ethics? Please attach an approved waiver or resolution before submitting your CRADA to the CRADA Subcommittee.

III. Appearance of Conflict of Interest Statement (Use attachments if necessary)

Please explain **any** personal interest in technology that is the subject of or closely related to this CRADA, including personal title to US or foreign patent rights. In your explanation, please identify **any** relationships with outside parties related to the technology. If no such interests exist, please make a statement to that effect.

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Please describe **any** present or past working relationship with the collaborator, including paid or unpaid outside activities, informal collaborations, speaking engagements, any past work affiliation or licensing arrangements for personally held patent rights. If no such relationships exist, please make a statement to that effect.

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When did any past working relationship end?_____

Please describe **any** of your duties within your ICD that involve grant or contract management responsibilities, including oversight, approval, advising or initiating actions on ICD funded grants or contracts. If no such duties exist, please make a statement to that effect.

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If **any** of your relatives are employed by the proposed collaborator, please describe that employment, detailing family relationship, place of employment, position, etc. If no such employment exists, please make a statement to that effect.

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IV. Collaborator Selection (Use attachments if necessary)

Approximately when did you begin negotiating this CRADA with the proposed collaborator? _____

If you or anyone else in your laboratory has had any past CRADAs or has any present CRADAs with the collaborator, please indicate below. If none, indicate "None".

CRADA Title	ID NUMBER	Period of Collaborator

Please explain the reason for selecting the proposed collaborator, citing issues, such as previous or ongoing informal collaborations, unique technology, unique expertise, unique materials or equipment, unique facilities, government inventions licensed to the collaborator or any other issues that were considered in the selection process.

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If it was announced publicly, where was the proposed CRADA project advertised? Were there responses?

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V. Principal Investigator's Certification

BECAUSE THE PATENT RIGHTS TO CRADA INVENTIONS WILL BE LICENSED UNDER THE CRADA RATHER THAN AS INTRAMURAL INVENTIONS, I ACKNOWLEDGE MY OBLIGATION TO LET COLLABORATORS ON THIS PROJECT IN OTHER NIH LABORATORIES KNOW ABOUT THE EXISTENCE OF MY CRADA BECAUSE IT MAY HAVE AN IMPACT ON HOW JOINT INVENTIONS MADE WITH ME WILL BE LICENSED.

I certify that, to the best of my knowledge, all of the above information is true and accurate.

NIH Principal Investigator's Signature:

_____ Date_____

VI. Ethics Officer's Certification

Based on my review of the information presented in Sections II and III above, there are no real or apparent conflict of interest issues for this CRADA.

ICD Deputy Ethics Counselor's Signature:

_____ Date_____