

Date [Rev 10/99]

Name
Title
Company Name
Address

[MODEL LETTER OF INTENT]

Reference: Proposed CRADA Between NIMH and Company Name
NIMH Principal Investigator:
Collaborator Investigator:
Title of Proposed CRADA

Dear _____:

Per our discussions, this Letter of Intent confirms our understanding that a collaborative research project between the parties named below is under consideration. In turn, this Letter is offered to permit the joint research to begin, pending review of the formal Cooperative Research and Development Agreement (CRADA) by the CRADA Subcommittee and approval by the Director, National Institute of Mental Health (NIMH).

Both parties named below hereby acknowledge that cooperative research pursuant to the Research Plan, attached, as Appendix A, will be conducted informally by the NIMH Principle Investigator and Collaborator pending formal approval of the CRADA. It is further acknowledged that patentable inventions may be made by NIMH employees and by the Collaborator's employees. NIMH agrees that should this CRADA be approved, then it will have retroactive effect to the date that the last party has executed this Letter for any inventions that may be made under this Research Plan. NIMH further agrees that this CRADA also will have retroactive effect to the date that the last party has executed this Letter for confidentiality obligations specified in the National Institutes of Health (NIH) Model CRADA. The NIH Model CRADA provisions for the protection of proprietary information are incorporated by reference and are considered controlling. These provisions include, but are not limited to Articles 2.7 and 8.1-8.7. Attached hereto as Appendix B is the NIH Model CRADA.

Lastly, it is understood by both parties that this Letter of Intent is not a commitment on the part of either party to enter into a CRADA. This Letter is effective for a term not to exceed six (6) months, which term may be extended provided that the CRADA is under active negotiation and the collaborative research is continuing.

Assuming that the necessary approvals are forthcoming, we look forward to a successful collaboration.

Sincerely,

Suzanne L. Winfield
Technology Development Coordinator, NIMH

Enclosures

ACCEPTED AND AGREED TO:

NATIONAL INSTITUTE OF MENTAL HEALTH

COMPANY NAME

Richard Nakamura, Ph.D. Date
Acting Scientific Director, NIMH

Authorizing Signature Date

Printed Name & Title